Caution: DRAFT FORM

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If you have any comments on this draft form, you can submit them to us on our web site. Include the word DRAFT in your response. You may make comments anonymously, or you may include your name and e-mail address or phone number. We will be unable to respond to all comments due to the high volume we receive. However, we will carefully consider each suggestion. So that we can properly consider your comments, please send them to us within 30 days from the date the draft was posted.

| PAYER'S name, street address, city, state, ZIP code, and telephone no. 1a Date of sale 1b CUSIP no. 2 Stocks, bond \$ PAYER'S federal identification number RECIPIENT'S identification number 3 Bartering \$ RECIPIENT'S name 5 No. of share | Broker and Barter Exchange Transactions 1099-B |
|---|---|
| PAYER'S federal identification number RECIPIENT'S identification number 3 Bartering RECIPIENT'S name 5 No. of share | to IRS Gross proceeds less commissions and option premiums |
| RECIPIENT'S name 5 No. of share | 4 Federal income tax withheld |
| | Copy A |
| | 6 Classes of stock exchanged Service Center File with Form 1096 |
| City, state, and ZIP code 8 Profit or (loss | For Privacy Ac and Paperwork Reduction Ac |
| 2009 | open contracts—12/31/2008 Notice, see the 2009 General Instructions for |
| open contract | profit or (loss) on lots-12/31/2009 11 Aggregate profit or (loss) Forms 1099, 1098, 5498, and W-2G. |
| | pox if recipient cannot take a loss on their tax |